

**Seismic moment deduced from quasi-static surface displacement in seismogen zones**

B. F. Apostol

Department of Engineering Seismology, Institute of Earth's Physics,

Magurele-Bucharest MG-6, POBox MG-35, Romania

email: afelix@theory.nipne.ro

**Abstract**

It is shown how to determine the seismic-moment tensor of a shear faulting from measurements of the (quasi)-static displacement in seismogen zones at Earth's surface. This is known as the inverse problem in Seismology. In a previous paper its solution has been given for measurements of the displacement vector at Earth's surface produced by seismic waves (an earthquake). Usually, the problem is treated in non-covariant forms, which leads to results dependent on the reference frame. Here we describe a manifestly covariant procedure.

**Introduction.** It is widely admitted that the continuous accumulation of the tectonic strain may be gradually dis-charged, to some extent, causing quasi-static crustal deformations of the Earth's surface in seismogen zones.[1]-[7] We show here that measurements of these deformations (which are very small) may give, besides qualitative information about the seismic activity, the depth of the focus, and the focal volume, the opportunity to determine the tensor of the seismic moment for a shear faulting. This is known as the inverse problem in Seismology. Usually, it is tackled by non-covariant forms of the experimental data, which produce results dependent on the reference frame. Since the seismic moment is a tensor, it is emphasized here that only covariant forms of the data are relevant for its determination. This covariant procedure has been described in a previous paper for data provided by measurements of the displacement vector at Earth's surface in the seismic waves produced by an earthquake.[8] Here we solve the similar problem for (quasi)-static displacement in seismogen zones for a seismic moment corresponding to a shear faulting. We use the displacement derived previously for a homogeneous isotropic half-space with tensorial point forces generated by a seismic moment in a focus localized inside the half-space.[9]

**Static displacement.** In Ref. [9] the static deformations produced by a tensorial point force in a homogeneous isotropic elastic half-space have been computed. The equation of elastic equilibrium with the force density  $\bar{\mathbf{f}}$  is

$$\Delta \mathbf{u} + \frac{1}{1 - 2\sigma} \text{grad} \text{div} \mathbf{u} = -\frac{2(1 + \sigma)}{E} \mathbf{f} , \quad (1)$$

where  $\mathbf{u}$  is the displacement vector (with components  $u_i$ ,  $i = 1, 2, 3$ ),  $E$  is the Young modulus and  $\sigma$  is the Poisson ratio. The components of the force are given by

$$f_i = M_{ij} \partial_j \delta(\mathbf{r} - \mathbf{r}_0) , \quad (2)$$

where  $\mathbf{r}_0$  is the position of the focus and  $M_{ij}$  is the tensor of the seismic moment. It is convenient to write  $\bar{\mathbf{f}} = -[2(1 + \sigma)/E] \mathbf{f}$  and  $\bar{M}_{ij} = -[2(1 + \sigma)/E] M_{ij}$  (reduced force and seismic moment).

Equation (1) is solved for a half-space  $z < 0$ , with free surface  $z = 0$ , the position of the focus being  $\mathbf{r}_0 = (0, 0, z_0)$ ,  $z_0 < 0$ ; we use the radial coordinate  $\rho = (x^2 + y^2)^{1/2}$  for the in-plane coordinates  $x, y$  and  $x_1 = x, x_2 = y, x_3 = z$ . The coordinates of the displacement vector of the surface  $z = 0$  are given by [9]

$$\begin{aligned} 2\pi \cdot u_\alpha &= -\overline{M}_{\alpha\beta} I_\beta^{(1)} + \overline{M}_{\alpha 3} I^{(0)} - \frac{1}{2} \overline{M}_{\beta\gamma} \partial_\beta \partial_\gamma [2\sigma I_\alpha^{(3)} - z_0 I_\alpha^{(2)}] \\ &\quad - z_0 \overline{M}_{3\beta} \partial_\beta I_\alpha^{(1)} + \frac{1}{2} \overline{M}_{33} [2\sigma I_\alpha^{(1)} + z_0 I_\alpha^{(0)}] , \\ 2\pi \cdot u_3 &= -\frac{1}{2} \overline{M}_{\alpha\beta} \partial_\beta [(1 - 2\sigma) I_\alpha^{(2)} + z_0 I_\alpha^{(1)}] \\ &\quad + z_0 \overline{M}_{3\alpha} I_\alpha^{(0)} + \frac{1}{2} \overline{M}_{33} [(1 - 2\sigma) I^{(0)} - z_0 \frac{\partial}{\partial z_0} I^{(0)}] , \end{aligned} \quad (3)$$

where

$$\begin{aligned} I^{(0)} &= -\frac{z_0}{r^3} , \quad I^{(1)} = \frac{1}{r} , \quad I_\alpha^{(2)} = -\frac{x_\alpha}{r(r+|z_0|)} , \\ I_\alpha^{(3)} &= -\frac{x_\alpha}{r+|z_0|} , \end{aligned} \quad (4)$$

$I_\alpha^{(n)} = \partial_\alpha I^{(n)}$  ( $n = 0, 1, 2, 3$ ) and  $r = (\rho^2 + z_0^2)^{1/2}$ ; we use  $\alpha, \beta, \gamma = 1, 2$ . The components  $u_\alpha$  are vanishing for  $\rho \rightarrow 0$  and go like  $1/\rho^2$  for  $\rho \rightarrow \infty$ ; they have a maximum value for  $\rho$  of the order  $|z_0|$ . The component  $u_3$  goes like  $1/z_0^2$  for  $\rho \rightarrow 0$  and  $1/\rho^2$  for  $\rho \rightarrow \infty$ . It is convenient to give these displacement components for  $\rho$  close to zero, *i.e.* in the seismogen zone (close to a presumable epicentre). We get

$$\begin{aligned} u_\alpha &= \frac{1}{16\pi} \left[ 4(1 - 2\sigma) \overline{M}_{33} - (3 + 2\sigma) \overline{M}_0 \right] \frac{x_\alpha}{|z_0|^3} + \frac{1}{8\pi} (1 - 2\sigma) \frac{\overline{M}_{\alpha\beta} x_\beta}{|z_0|^3} + \dots , \\ u_3 &= \frac{1}{8\pi z_0^2} \left[ 2(3 - 2\sigma) \overline{M}_{33} - (1 + 2\sigma) \overline{M}_0 \right] + \frac{\overline{M}_{3\alpha} x_\alpha}{2\pi |z_0|^3} + \dots , \end{aligned} \quad (5)$$

where  $\overline{M}_0 = \overline{M}_{ii}$  is the trace of the tensor  $\overline{M}_{ij}$ . Equations (5) depend on the reference frame (their form is not covariant); consequently, we cannot use them for determining the components of the seismic-moment tensor.

A simplified numerical estimation of these unknowns can be obtained as follows. We assume  $M_0 = 0$  (as for a shear faulting), replace all the components of the seismic-moment tensor in equations (5) by a mean value  $\overline{M}$  and average over the orientation of the vector  $\boldsymbol{\rho}$ ; we denote the resulting  $u_3$  by  $u_v$  (vertical component) and introduce  $u_h$  (horizontal component) by  $u_h = (u_1^2 + u_2^2)^{1/2}$ ; we get approximately

$$u_h \simeq \frac{(1 - 2\sigma) |\overline{M}|}{4\pi} \frac{\rho}{|z_0|^3} , \quad u_v \simeq \frac{(3 - 2\sigma) \overline{M}}{4\pi z_0^2} ; \quad (6)$$

hence, we get immediately the depth of the focus

$$|z_0| \simeq \frac{1 - 2\sigma}{3 - 2\sigma} |u_v| / (\partial u_h / \partial \rho) \quad (7)$$

and the mean value  $\overline{M} = 4\pi z_0^2 u_v / (3 - 2\sigma)$  of the (reduced) seismic moment. Making use of  $\overline{M}_{ij} = -[2(1 + \sigma)/E] M_{ij}$  we have

$$M_{av} \simeq -\frac{2\pi E}{(1 + \sigma)(3 - 2\sigma)} z_0^2 u_v \quad (8)$$

for the mean value  $M_{av}$  of the seismic moment  $M_{ij}$ . For  $M_{av} = 10^{22} \text{ dyn} \cdot \text{cm}$  (which would correspond to an earthquake with magnitude  $M_w = 4$  by the Gutenberg-Richter law  $\lg M_{av} =$

$1.5M_w + 16.1)$ , Young modulus  $E = 10^{11} \text{dyn/cm}^2$ ,  $\sigma = 0.25$  and depth  $|z_0| = 100 \text{km}$  we get a vertical displacement  $u_v \simeq 1 \mu\text{m}$ ; we can see that the static surface displacement is very small.

A rough estimate for the elastic energy stored by the static deformation is given by  $\mathcal{E} \simeq 4\pi z_0^2 E |u_v| \simeq 2(1+\sigma)(3-2\sigma) |M_{av}|$ ; it is also given by  $\mathcal{E} \simeq \mu V$ , where  $\mu$  is the Lamé coefficient and  $V$  is the focal volume ( $\mu = E/2(1+\sigma)$ ; the other Lamé coefficient is  $\lambda = E\sigma/(1-2\sigma)(1+\sigma)$ ); making use of the approximations introduced above, we get  $V \simeq 8\pi(1+\sigma)z_0^2 |u_v|$ . For  $|z_0| = 100 \text{km}$  and  $u_v = 1 \mu\text{m}$  ( $\sigma = 0.25$ ) we get a volume  $V \simeq 10^5 \pi m$ , *i.e.* a linear dimension  $l \simeq 500 m$ . Similarly, from equations (5) we get an estimate  $u_{ij} \sim V/|z_0|^3$  for the surface strain; using the numerical data above, it is of Å order.

The displacement components given by equation (5) can be written in a covariant form ( $M_0 = 0$ ) as

$$u_i = \left\{ \left[ 2(3-2\sigma)\overline{M}_4^{(n)} - (9-10\sigma)\overline{M}_4^{(nv)} \right] n_i - 4\overline{M}_4^{(n)} v_i + (1-2\sigma)\overline{M}_{ij} v_j \right\} \frac{1}{8\pi z_0^2} \quad , \quad (9)$$

where

$$\mathbf{n} = (x_\alpha, z - z_0)/|z_0| \quad , \quad \mathbf{v} = (x_\alpha, z)/|z_0| \quad , \quad (10)$$

$$\overline{M}_4^{(n)} = \overline{M}_{ij} n_i n_j \quad , \quad \overline{M}_4^{(nv)} = \overline{M}_{ij} n_i v_j \quad ;$$

in equations (9) and (10) we retain only contributions linear in  $x_\alpha$  and in the limit  $z \rightarrow 0$ . Within these restrictions the form given by equation (9) is unique. In these equations

$$\overline{M}_i = \overline{M}_{ij} v_j \simeq \frac{\overline{M}_{i\alpha} x_\alpha}{|z_0|} \quad (11)$$

are the components of a vector and

$$\overline{M}_4^{(n)} \simeq 2\overline{M}_3 + \overline{M}_{33} \quad , \quad \overline{M}_4^{(nv)} \simeq \overline{M}_3 \quad (12)$$

are scalars. Taking the scalar product  $\mathbf{n}\mathbf{u} \simeq u_3$  in equation (9), we get

$$\overline{M}_4^{(n)} = \frac{4\pi z_0^2 u_3 + 4(1-\sigma)M_3}{3-2\sigma} \quad ; \quad (13)$$

inserting this  $\overline{M}_4^{(n)}$  and  $\overline{M}_4^{(nv)} \simeq \overline{M}_3$  in equation (9) we get

$$u_\alpha = \frac{1-2\sigma}{3-2\sigma} \frac{x_\alpha}{|z_0|} u_3 + \frac{1-2\sigma}{8\pi z_0^2} \overline{M}_\alpha \quad (14)$$

(and the identity  $u_3 = u_3$ ). This equation gives

$$\overline{M}_\alpha = 8\pi z_0^2 \left( \frac{1}{1-2\sigma} u_\alpha - \frac{1}{3-2\sigma} \frac{x_\alpha}{|z_0|} u_3 \right) \quad (15)$$

(and  $M_\alpha = -[E/2(1+\sigma)]\overline{M}_\alpha$ ) as functions of the measured quantities  $u_\alpha$ ,  $u_3$  and  $x_\alpha$ ;  $\overline{M}_4^{(nv)}$  and  $\overline{M}_4^{(n)}$  are given by equations (12) and (13) as functions of  $u_3$  and the parameter  $\overline{M}_3$ . This is the maximal information provided by measuring the static displacement in a seismogen zone; the parameter  $z_0$  remains undetermined; we can use its numerical estimation given above (equation (7)).

**Seismic moment.** We assume that the components  $M_\alpha$  of the vector  $\mathbf{M}$  are determined from data, according to equation (15); the component  $M_3$  will be determined shortly. The scalars

$M_4^{(nv)} \simeq M_3$  and  $M_4^{(n)}$  are given by equations (12) and (13), respectively; they depend on the parameter  $M_3$ . Parameters  $z_0$  (focus depth) and the focal volume  $V$  remain undetermined. Order-of-magnitude estimations given above (equation (7) and below) may be used for them.

In order to determine the seismic moment we use its expression derived in Ref. [8] for a shear faulting. According to Ref. [8] this tensor is given by

$$M_{ij} = M^0(s_i a_j + s_j a_i) , \quad i, j = 1, 2, 3 , \quad (16)$$

where  $M^0 = 2\mu V$  and  $s_i, a_i$  are the components of two orthogonal unit vectors  $\mathbf{s}$  and  $\mathbf{a}$ :  $\mathbf{s}$  is normal to the fault plane and  $\mathbf{a}$  is directed along the fault displacement (fault sliding).[10] We can see that equation (10) implies  $M_0 = M_{ii} = 0$ . We assume that the measured data of the static displacement satisfy this condition. In addition, we assume that  $M^0$  is a known parameter.

We introduce the scalar products  $A = \mathbf{a}\mathbf{v}$  and  $B = \mathbf{s}\mathbf{v}$  and write

$$As + Ba = \mathbf{m} , \quad Bs + Aa = \mathbf{v} \quad (17)$$

from equation (16), where  $\mathbf{m} = \mathbf{M}/M^0$ ; we solve this system of equations for  $\mathbf{s}$  and  $\mathbf{a}$  with the conditions  $s^2 = a^2 = 1, \mathbf{s}\mathbf{a} = 0$ . We note that equation (16) is invariant under the symmetry operations  $\mathbf{s} \longleftrightarrow \mathbf{a}$  and  $\mathbf{s}, \mathbf{a} \longleftrightarrow -\mathbf{s}, -\mathbf{a}$  (and  $\mathbf{s} \longleftrightarrow -\mathbf{a}$ ); consequently, it is sufficient to retain one solution of the system of equations (17) (it has multiple solutions), all the other being given by these symmetry operations. We get

$$\mathbf{s} = \frac{A}{A^2 - B^2} \mathbf{m} - \frac{B}{A^2 - B^2} \mathbf{v} , \quad \mathbf{a} = -\frac{B}{A^2 - B^2} \mathbf{m} + \frac{A}{A^2 - B^2} \mathbf{v} \quad (18)$$

and

$$A^2 + B^2 = m^2 = v^2 , \quad 2AB = v^2 m_4 , \quad (19)$$

where  $m_4 = \mathbf{m}\mathbf{v}/v^2 = M_{ij}v_i v_j / v^2 M^0$ . From  $m^2 = v^2$  we get the component  $M_3$  as given by

$$M_3^2 = M^{02}v^2 - M_\alpha^2 ; \quad (20)$$

we may take

$$A = v \sqrt{\frac{1 + \sqrt{1 - m_4^2}}{2}} , \quad B = \text{sgn}(m_4) \cdot v \sqrt{\frac{1 - \sqrt{1 - m_4^2}}{2}} \quad (21)$$

as a solution of the system of equations (19); this solves the problem of determining the seismic moment from the measurements of the surface static displacement. From equation (16) the seismic-moment tensor is given by

$$M_{ij} = \frac{M^0}{v^2(1 - m_4^2)} [m_i v_j + m_j v_i - m_4 (m_i m_j + v_i v_j)] ; \quad (22)$$

the vector  $\mathbf{v}$  is known from equation (10) ( $z \rightarrow 0, v = \rho / |z_0|$ ) and the vector  $\mathbf{m}$  is known from equations (15) and (20) (with  $z_0$  and  $M^0$  known parameters); the scalar  $m_4$  is given by  $m_4 = M_\alpha v_\alpha / v^2 M^0$ . The component  $M_3$  does not enter the expression of  $m_4$ ; it is included in  $M_{ij}$ . The quadratic form  $M_{ij}x_i x_j = \text{const}$  is a hyperbola with an arbitrary orientation in space; its asymptotes indicate the fault plane (vector  $\mathbf{s}$ ) and the fault slip (vector  $\mathbf{a}$ ).

The isotropic case  $M_{ij} = -M^{is}\delta_{ij}$ , where  $M^{is} = 2(2\mu + \lambda)V$ , implies a surface displacement

$$\mathbf{u} = \frac{M^{is}(1 + \sigma)}{4\pi z_0^2 E} [(3 - 10\sigma)\mathbf{n} - (3 - \sigma)\mathbf{v}] , \quad (23)$$

the vector  $\mathbf{M}$  being given by  $\mathbf{M} = -M^{is}\mathbf{v}$ . The energy can be estimated as  $\mathcal{E} = M^{is}/2 = 4\pi z_0^2 E |u_v|$ , which leads to a focal volume  $V = [4\pi(1+\sigma)(1-2\sigma)/(1-\sigma)]z_0^2 |u_v|$ .

**Concluding remarks.** It is shown in this paper that the tensor of the seismic moment of a shear faulting can be deduced from measurements of the (quasi)-static displacement at Earth's surface in seismogen zones by using covariant forms of the measured data. The derivation is made possible by using the static deformations derived for a homogeneous isotropic half-space with tensorial point forces generated by a seismic moment in a focus localized inside the half-space. The procedure described here is a solution of the inverse problem in Seismology; its practical application may be hampered by the errors implied by the very small (quasi)-static surface displacements. A similar problem has been solved in Ref. [8] for the surface displacement in the seismic waves produced by an earthquake.

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