

Figure 12: A shop window of clusters

$Z \gg 1, N \gg 1$, one-electron states, valence atomic shells, atomic-like vs extended (chemical bond) orbitals

$$\psi = \alpha\varphi + \beta\Phi, \quad \alpha^2 + \beta^2 = 1$$

Ionic density

$$\rho = \sum (1 - \alpha^2)\varphi^2 = \sum \beta^2\varphi^2$$

Effective valence

$$z^* = \sum \int (1 - \alpha^2)\varphi^2 \Rightarrow \beta^2 z$$

Point-like approximation

$$\rho = z_i^* \delta(\mathbf{r} - \mathbf{R}_i)$$

$$H = \sum_{\alpha} p_{\alpha}^2 / 2m - e^2 \sum_{i\alpha} \frac{z_i^*}{|\mathbf{R}_i - \mathbf{r}_{\alpha}|} +$$

$$+ \frac{1}{2} e^2 \sum_{\alpha\beta} \frac{1}{|\mathbf{r}_{\alpha} - \mathbf{r}_{\beta}|} + \frac{1}{2} e^2 \sum_{ij} \frac{z_i^* z_j^*}{|\mathbf{R}_i - \mathbf{R}_j|}$$

$$n(\mathbf{r}) = \sum \Phi^*(\mathbf{r})\Phi(\mathbf{r}), \quad n(\mathbf{r}, \mathbf{r}') = \sum \Phi^*(\mathbf{r})\Phi(\mathbf{r}')$$

$$E_{HF} = \int d\mathbf{r} \cdot \sum \Phi^* (\mathbf{p}^2/2m) \Phi -$$

$$-e^2 \sum_i \int d\mathbf{r} \cdot \frac{z_i^*}{|\mathbf{R}_i - \mathbf{r}|} n(\mathbf{r}) + \frac{1}{2} e^2 \int d\mathbf{r} d\mathbf{r}' \cdot \frac{1}{|\mathbf{r} - \mathbf{r}'|} n(\mathbf{r}) n(\mathbf{r}') -$$

$$-\frac{1}{2} e^2 \int d\mathbf{r} d\mathbf{r}' \cdot \frac{1}{|\mathbf{r} - \mathbf{r}'|} n(\mathbf{r}, \mathbf{r}') n(\mathbf{r}', \mathbf{r}) + \frac{1}{2} e^2 \sum_{ij} \frac{z_i^* z_j^*}{|\mathbf{R}_i - \mathbf{R}_j|}$$

Hartree-Fock equation

$$(\mathbf{p}^2/2m)\Phi - e\varphi \cdot \Phi + \varepsilon_{ex}(\Phi) = \varepsilon\Phi$$

Hartree field

$$\varphi = e \sum_i z_i^* / |\mathbf{r} - \mathbf{R}_i| - e \int d\mathbf{r}' \cdot n(\mathbf{r}') / |\mathbf{r} - \mathbf{r}'|$$

Poisson equation

$$\Delta\varphi = -4\pi e \sum_i z_i^* \delta(\mathbf{r} - \mathbf{R}_i) + 4\pi e n$$

Exchange energy

$$\varepsilon_{ex}(\Phi) = -e^2 \int d\mathbf{r}' \cdot n(\mathbf{r}', \mathbf{r}) / |\mathbf{r} - \mathbf{r}'| \cdot \Phi(\mathbf{r}') =$$

$$= -e^2 \int d\mathbf{r}' \cdot 1 / |\mathbf{r} - \mathbf{r}'| \cdot \sum \Phi^*(\mathbf{r}') \Phi(\mathbf{r}) \cdot \Phi(\mathbf{r}')$$

Quasi-Classical Description

- $\epsilon_{ex}(\Phi)$ admits plane waves as eigenfunctions

-“rigidity” of the $\epsilon_{ex}(\Phi)$ under local variations of electron density (non-locality); a new parameter $k_F(\mathbf{r})$

-use this parameter to screen off the Coulomb interaction, and to get Hartree equation

$$(\mathbf{p}^2/2m)\Phi - e\varphi \cdot \Phi = \epsilon\Phi$$

admit quasi-plane waves solutions; therefore, the Hartree-Fock equation admits (quasi-) plane waves as solutions, to the first approximation

-variation with respect to $k_F(\mathbf{r})$

$$\hbar^2 k_F^2 / 2m - e\varphi = 0$$

$n = k_F^3 / 3\pi^2$, $n \sim \varphi^{3/2}$, classical 3/2-Thomas-Fermi model in Poisson equation; valid for $z_i^* \rightarrow \infty$, "no-binding" theorem ("quasi-classical approximation")

Linearized Thomas-Fermi theory

$$k_F^2 / 2 \rightarrow \bar{k}_F k_F / 2 = \varphi$$

$$n = \bar{k}_F^2 k_F / 3\pi^2 = (2/3\pi^2) \bar{k}_F \varphi = (q^2 / 4\pi) \varphi$$

$$\Delta\varphi = -4\pi \sum_i z_i^* \delta(\mathbf{r} - \mathbf{R}_i) + q^2 \varphi$$

→ **binding**; $\bar{k}_F(q)$, variational parameter

$$\varphi = \sum_i \frac{z_i^*}{|\mathbf{r} - \mathbf{R}_i|} e^{-q|\mathbf{r} - \mathbf{R}_i|}$$

$$\begin{aligned} E_{pot} &= \int d\mathbf{r} \cdot (-\varphi n + \frac{1}{2}\varphi e n) + E_{ion-ion} = \\ &= -\frac{1}{2} \int d\mathbf{r} \cdot (\varphi + \varphi_i) n + E_{ion-ion} = \\ &= -\frac{q^2}{8\pi} \int d\mathbf{r} \cdot (\varphi + \varphi_i) \varphi + E_{ion-ion} \end{aligned}$$

$$\varphi_i = \sum_i \frac{z_i^*}{|\mathbf{r} - \mathbf{R}_i|}, \quad E_{ion-ion} = \frac{1}{2} \sum_{ij} \frac{z_i^* z_j^*}{|\mathbf{R}_i - \mathbf{R}_j|}$$

$$E_{pot} = -\frac{q}{4} \left\{ 3 \sum_i z_i^{*2} + \sum_{i \neq j} z_i^* z_j^* \left(1 - \frac{2}{qr_{ij}} \right) e^{-qr_{ij}} \right\}$$

$$\Phi_{ij} = -\frac{1}{2} q z_i^* z_j^* \left(1 - \frac{2}{qr_{ij}} \right) e^{-qr_{ij}}, \quad r_{ij} = |\mathbf{R}_i - \mathbf{R}_j|$$

$$E_{pot} = -Bq, \quad r_{ij} \sim a \sim 2.73/q$$

$$T = \frac{V}{10\pi^2} k_F^5 \rightarrow \frac{\bar{k}_F^4}{10\pi^2} \int dr \cdot k_F = \frac{27\pi}{40 \cdot 64} q^6 \int dr \cdot \varphi$$

$$= \frac{27\pi^2}{640} z_0 q^4 = Aq^4/4, \quad z_0 = \sum_i z_i^*$$

$$E_q = T + E_{pot} = Aq^4/4 - Bq$$

$$\rightarrow q = (B/A)^{1/3} = 0.77 z^*^{1/3}, \quad a \sim 3.5/z^*^{1/3}$$

$$E_q = -0.43N z^*^{7/3}$$

$$E_b = E_q + E_{ex}$$

$$\begin{aligned}
 E_{ex} &= -\frac{V k_F^4}{4\pi^3} \rightarrow -\frac{\bar{k}_F^3}{4\pi^3} \int d\mathbf{r} \cdot k_F = \\
 &= -\frac{9}{128} q^4 \int d\mathbf{r} \cdot \varphi = -\frac{9}{32} q^2 N z^* = \\
 &= -0.17 N z^{*5/3}
 \end{aligned}$$

Plane-waves, Average field

$$\begin{aligned}
 \varphi_0 = \bar{\varphi} &= \sum_i \frac{z_i^*}{|\mathbf{r} - \mathbf{R}_i|} e^{-q|\mathbf{r} - \mathbf{R}_i|} = \\
 &= 4\pi z^* / a^3 q^2 = 0.48 z^{*4/3}
 \end{aligned}$$

Quantal corrections

$$(-\hbar^2/2m)\Delta\Phi - e\varphi \cdot \Phi = \varepsilon\Phi$$

perturbation (off-diagonal exchange):

$$\varepsilon_{ex}(\Phi)$$

$$\bar{k}_F = \frac{3\pi}{8} q_{av}^2 = \frac{1}{z_0} \int d\mathbf{r} \cdot k_F n = \frac{16}{3\pi z_0} \int dr \cdot r^2 \varphi^2$$

$$q_{av} = 0.9z^*1/3 \Leftrightarrow q_{var} = 0.77z^*1/3, 17\%$$

Lifetime effects; $\delta\varepsilon \sim \delta\varphi$, $(\delta\varphi)^2 \Rightarrow 0.17 \cdot 17\% = 3\%$

Atom

$$\varphi = Ze^{-qr}/r$$

Quasi-classical energy $-11.78Z^{7/3}\text{eV}$ (exchange $-4.6Z^{5/3}\text{eV}$), $q_{var} = 0.77Z^{1/3}$, $q_{av} = 0.9Z^{1/3}$; $\bar{q} = 0.84Z^{1/3}$; Quantal corrections (Hartree) $-4.56Z^{7/3}\text{eV} \Rightarrow E = -16Z^{7/3}\text{eV}$

$$N_{out} = \int_{r>R} d\mathbf{r} \cdot n = Z(1 + qR)e^{-qR}$$

$$z^* = z(1 + qR)e^{-qR} = z(1 + 0.84Z^{1/3})e^{-0.84Z^{1/3}}$$

$$(R = 1); \Rightarrow z_{Fe}^* = 0.57 (Z = 26, z = 2)$$

-Magic forms, magic numbers (ground state)

$N = 6, 11, 13, 15, 19, 23, 26, 29, 34, 45, 53, 57, 61, \dots$

-Isomers, statistical magic numbers; quadrupole potential, electronic magic numbers

-Ionization potential ($I = (1 - \beta^2)I_a + \beta^2 I_b$), electron affinity

-plasma frequency (fractional occupancy)

-quasi-classical quasiparticles, Fermi liquid, $f = (4\pi N z^* / q^2) ((2\pi\hbar)^3 / V) \delta(p - p')$; $m^* = m(1 + 0.39z^{*1/3})$; no 0-sound, no renormalized spin paramagnetism

-phonons, electron-phonon interaction, sound velocity $v_s = [(0.43z^{*7/3} + 0.68z^{*5/3}) / A]^{1/2} \text{m/s}$

-response, polarizability, diamagnetic susceptibility

-magnetic moment, Hund's rule; e.g., $6d$, $\text{Fe} \Rightarrow 4\mu_B$; $0.57, 0.43 \Rightarrow 4.57\mu_B$

-quantal corrections

-spatial structure of the ionic cores

-hetero-atomic clusters

-solids

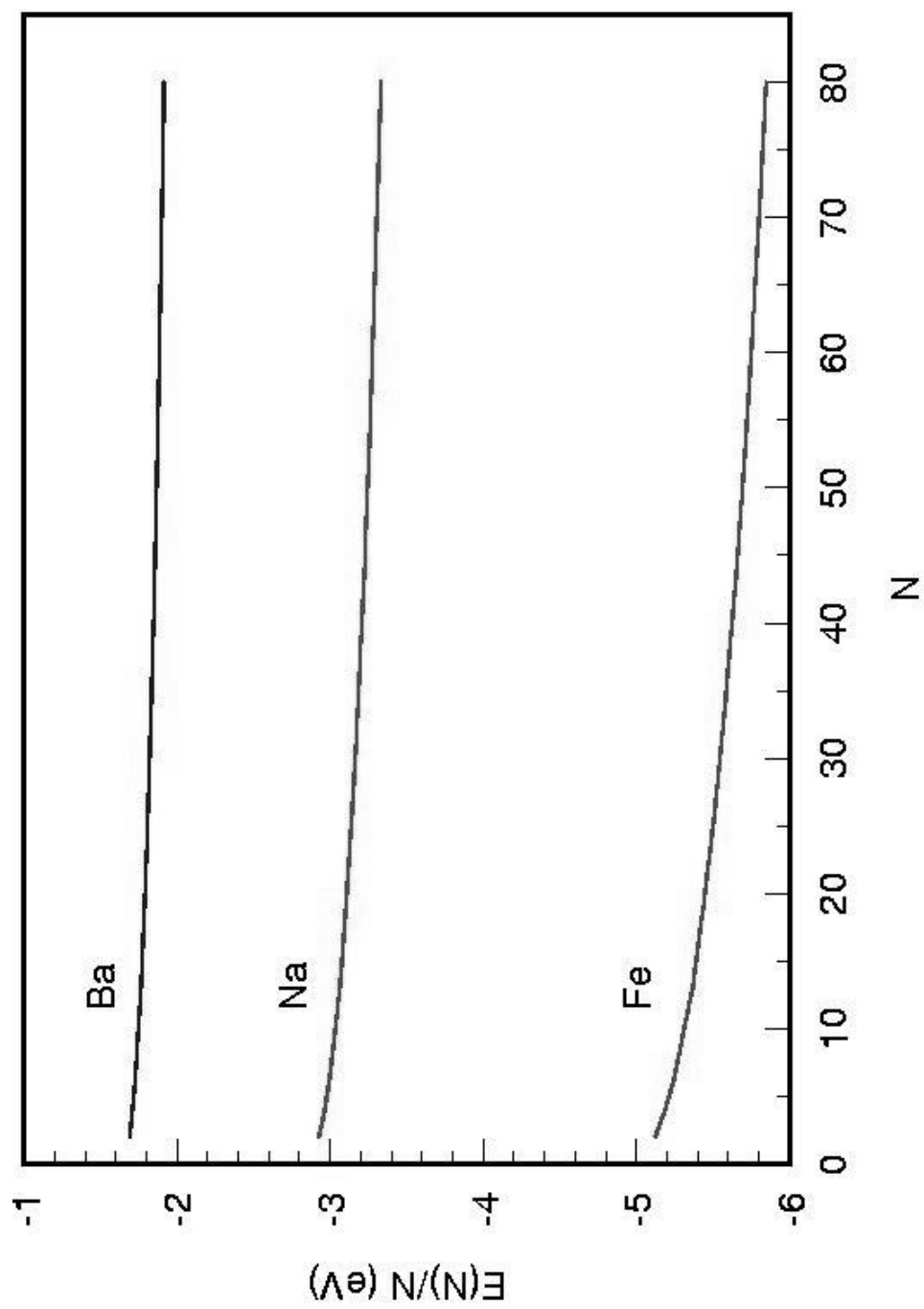


Figure 1: Ground-state energy per atom $E(N)/N$ vs cluster size N for Na ($z^* = 0.44$), Fe ($z^* = 0.57$) and Ba ($z^* = 0.34$)

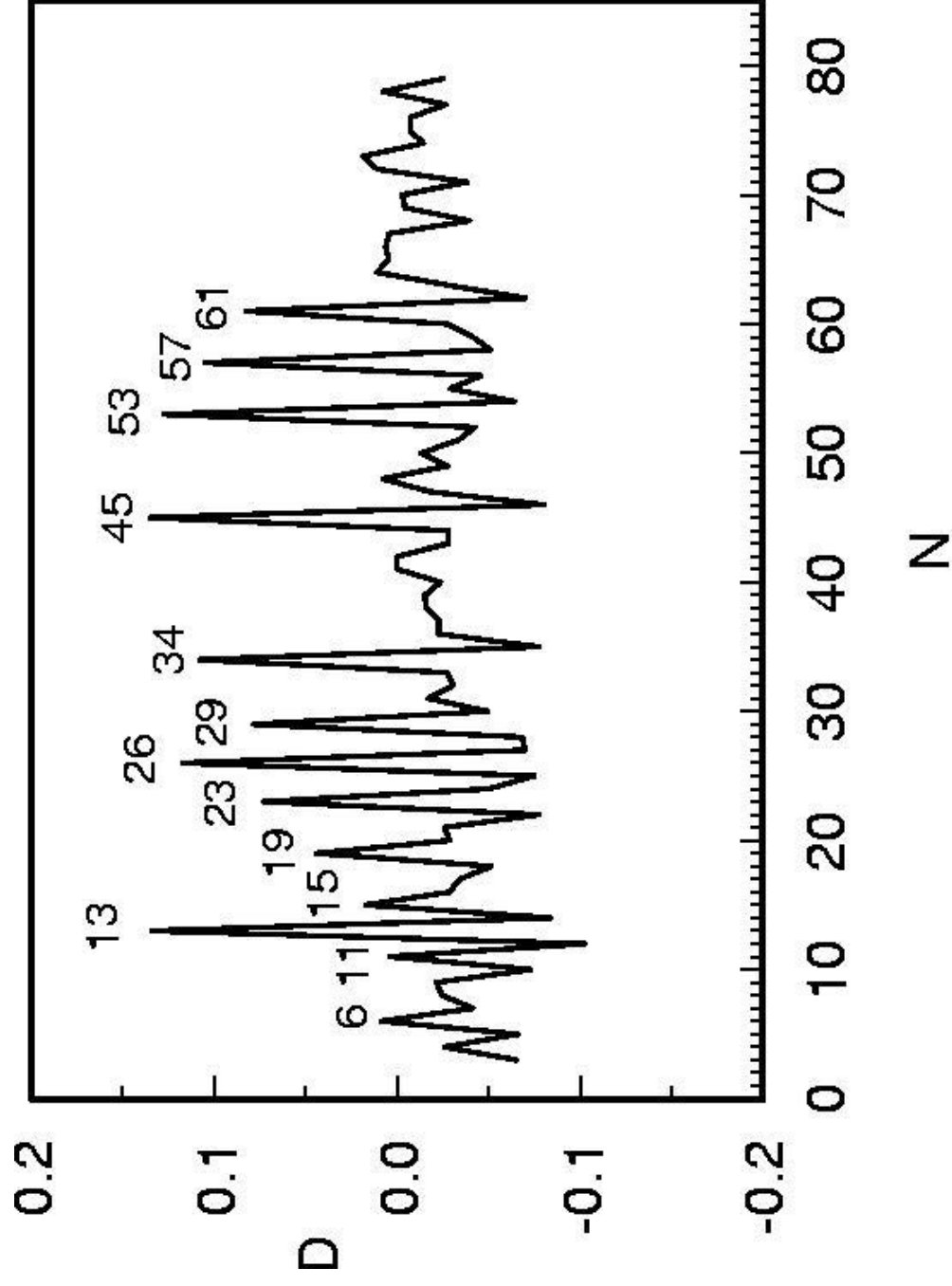


Figure 2: Geometric magic numbers for homo-atomic metallic clusters

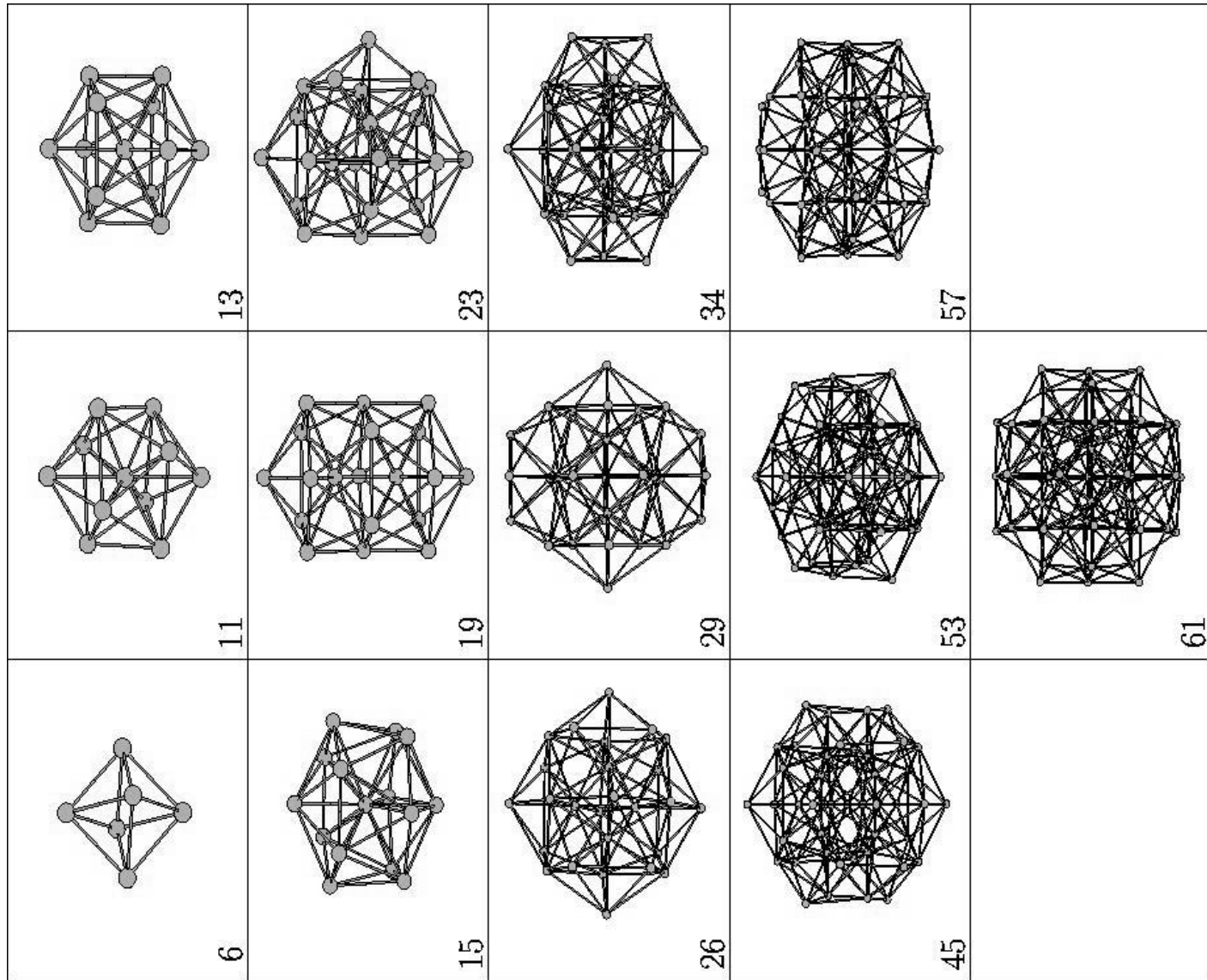


Figure 3: Magic homo-atomic metallic clusters

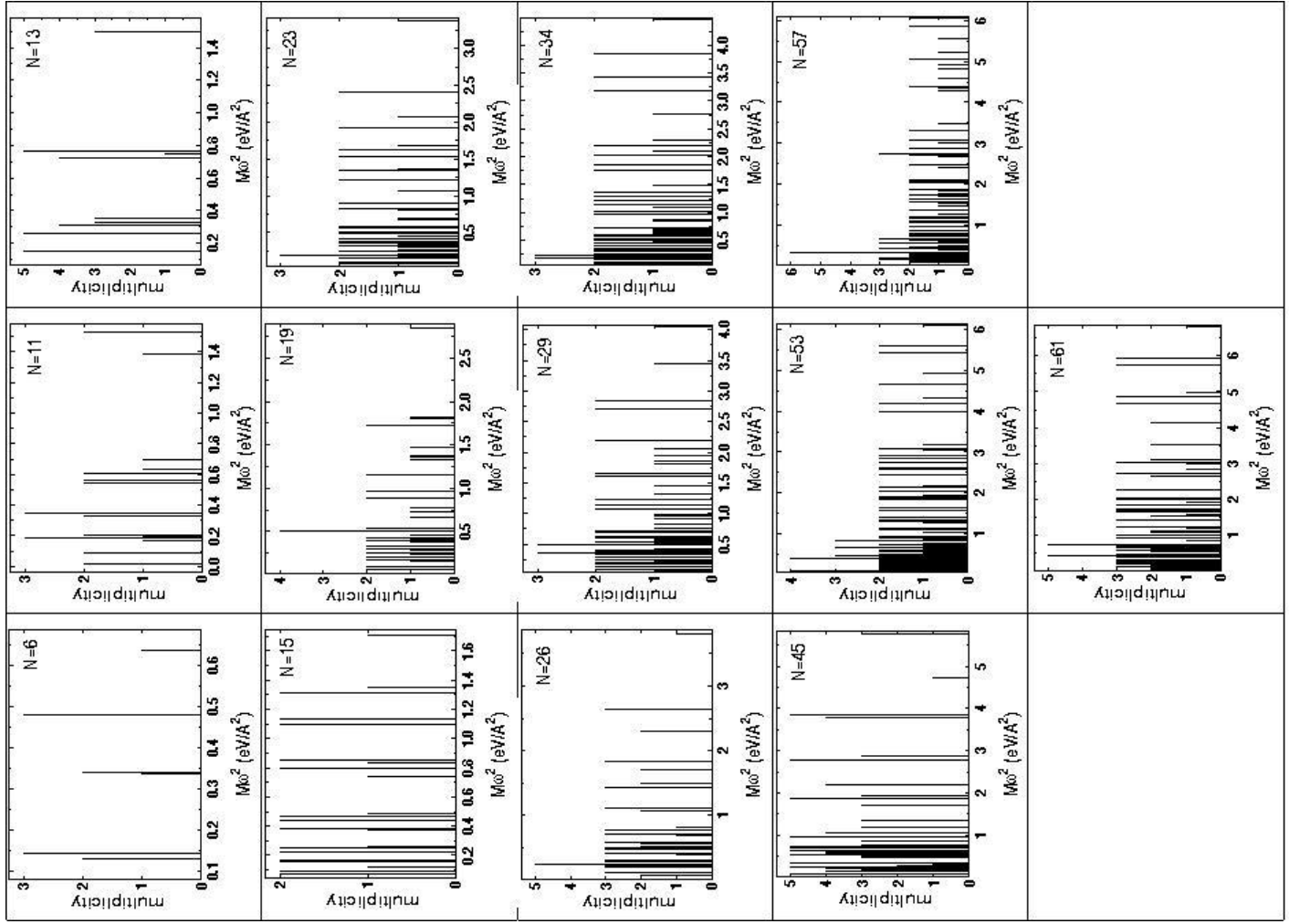


Figure 4: Vibration spectra for magic Fe-clusters ($z^* = 0.57$)

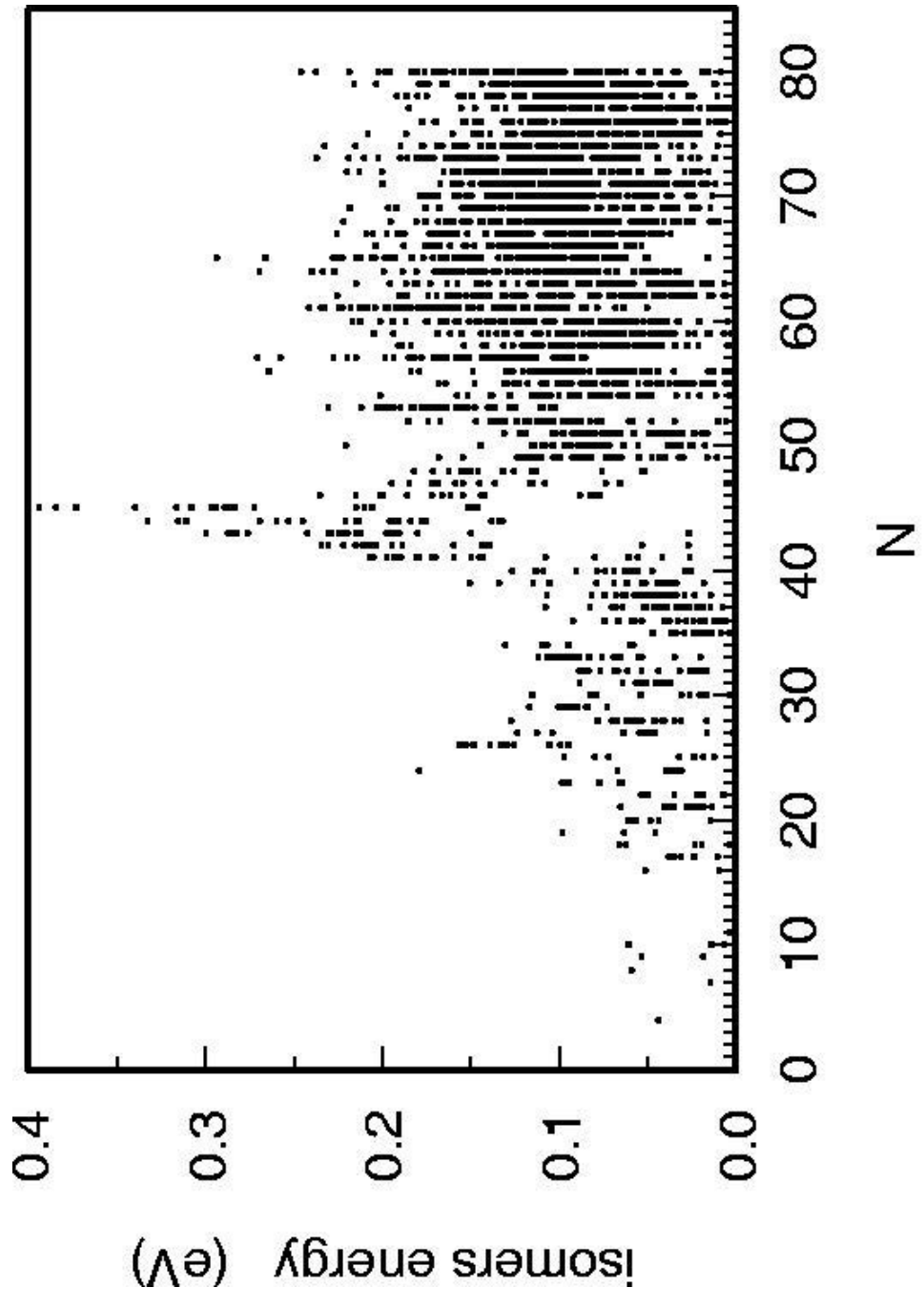


Figure 5: Isomer table for Fe-clusters ($z^* = 0.57$; errors less than 10^{-2} eV)

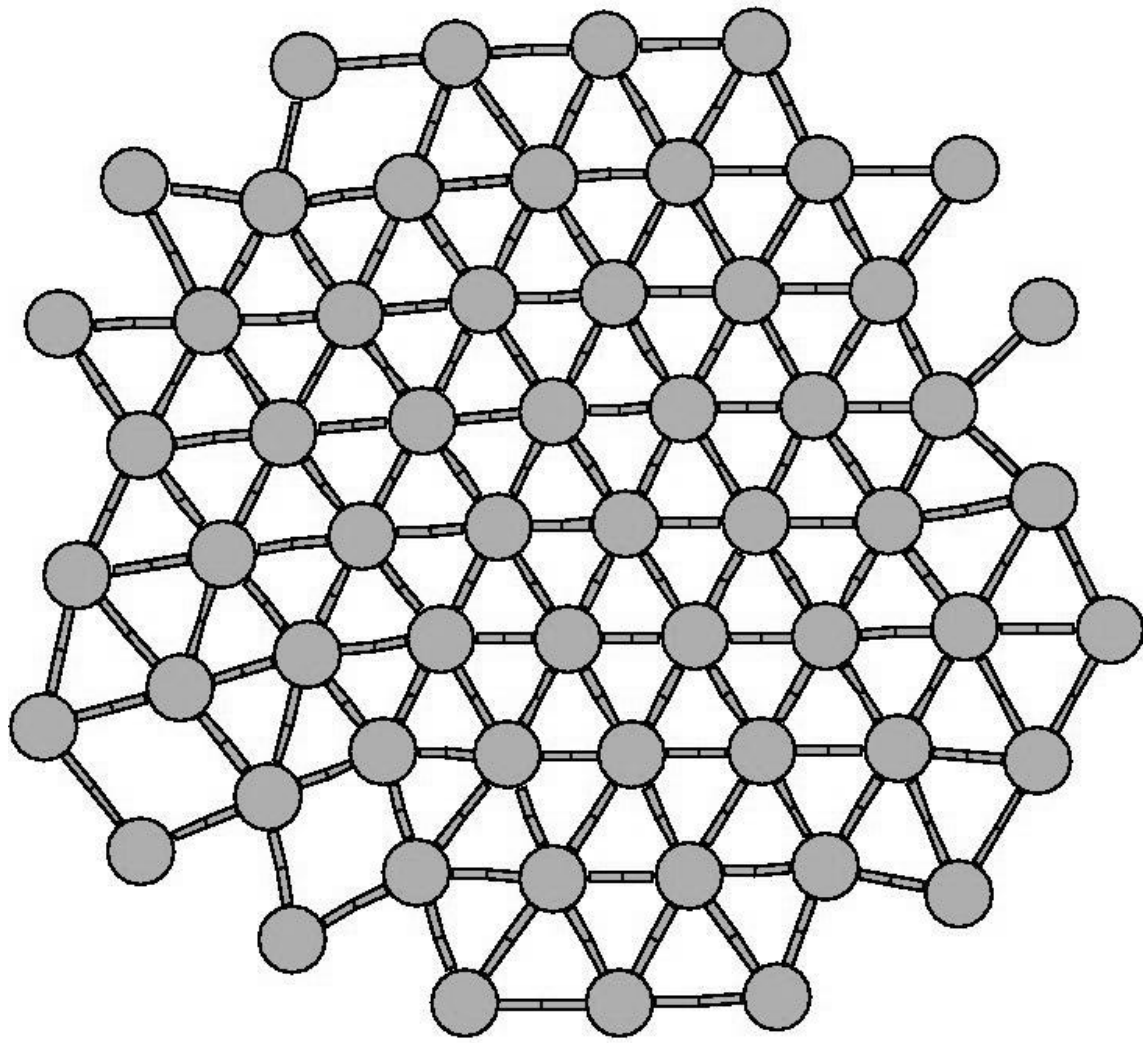


Figure 6: A hexagonal atomic sheet obtained from a saddle point of the potential energy

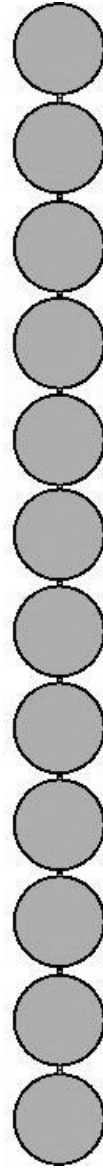


Figure 7: An (unstable) atomic chain

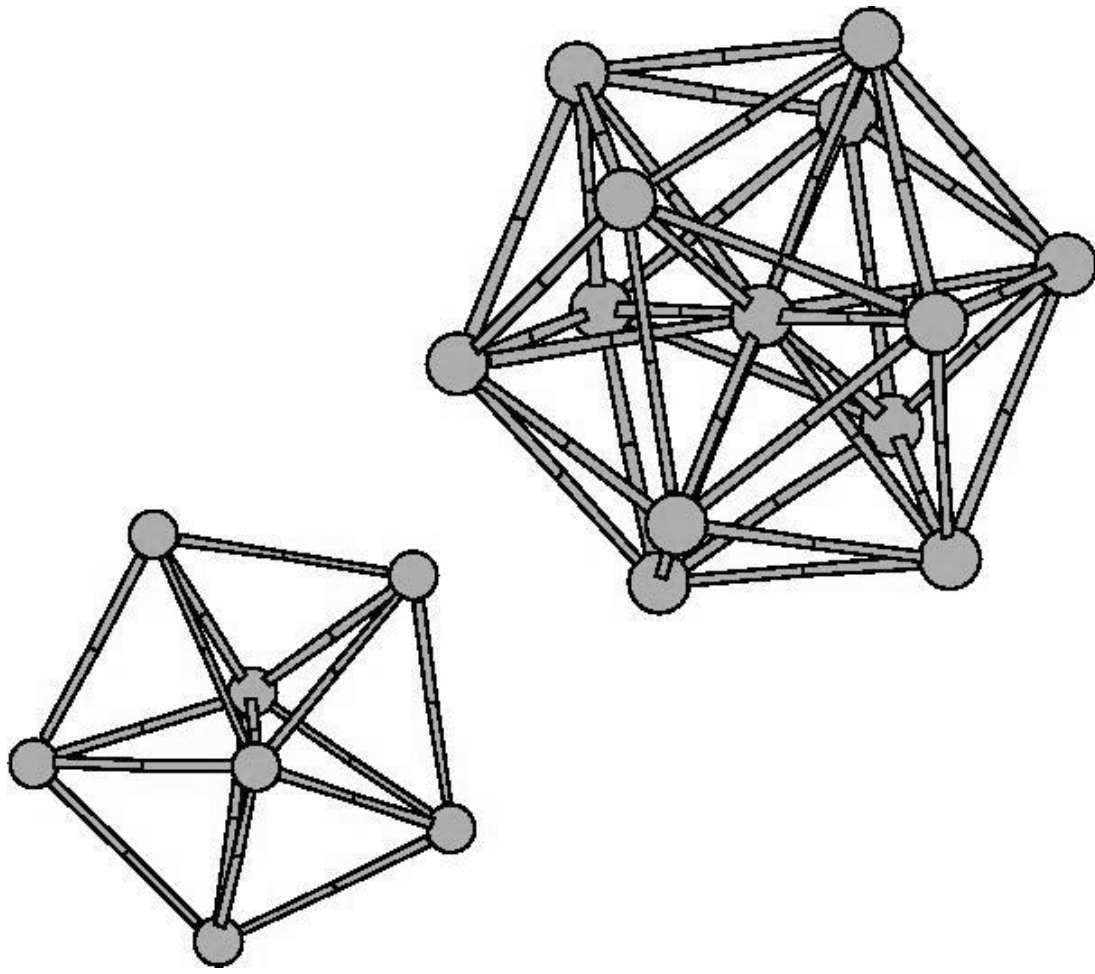


Figure 8: Two atomic fragments in quasi-equilibrium

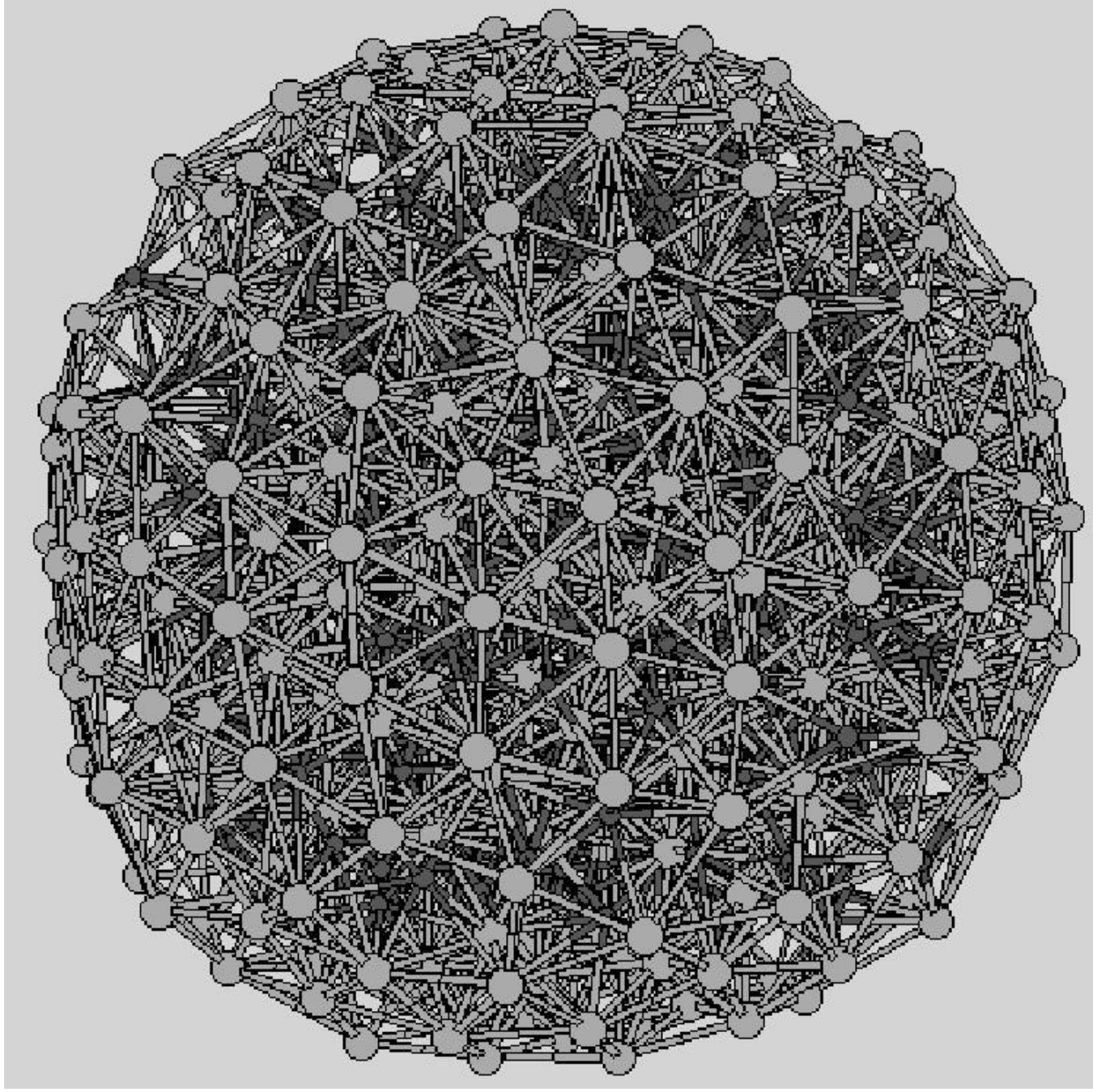


Figure 9: A bcc-core solid of 855-atoms

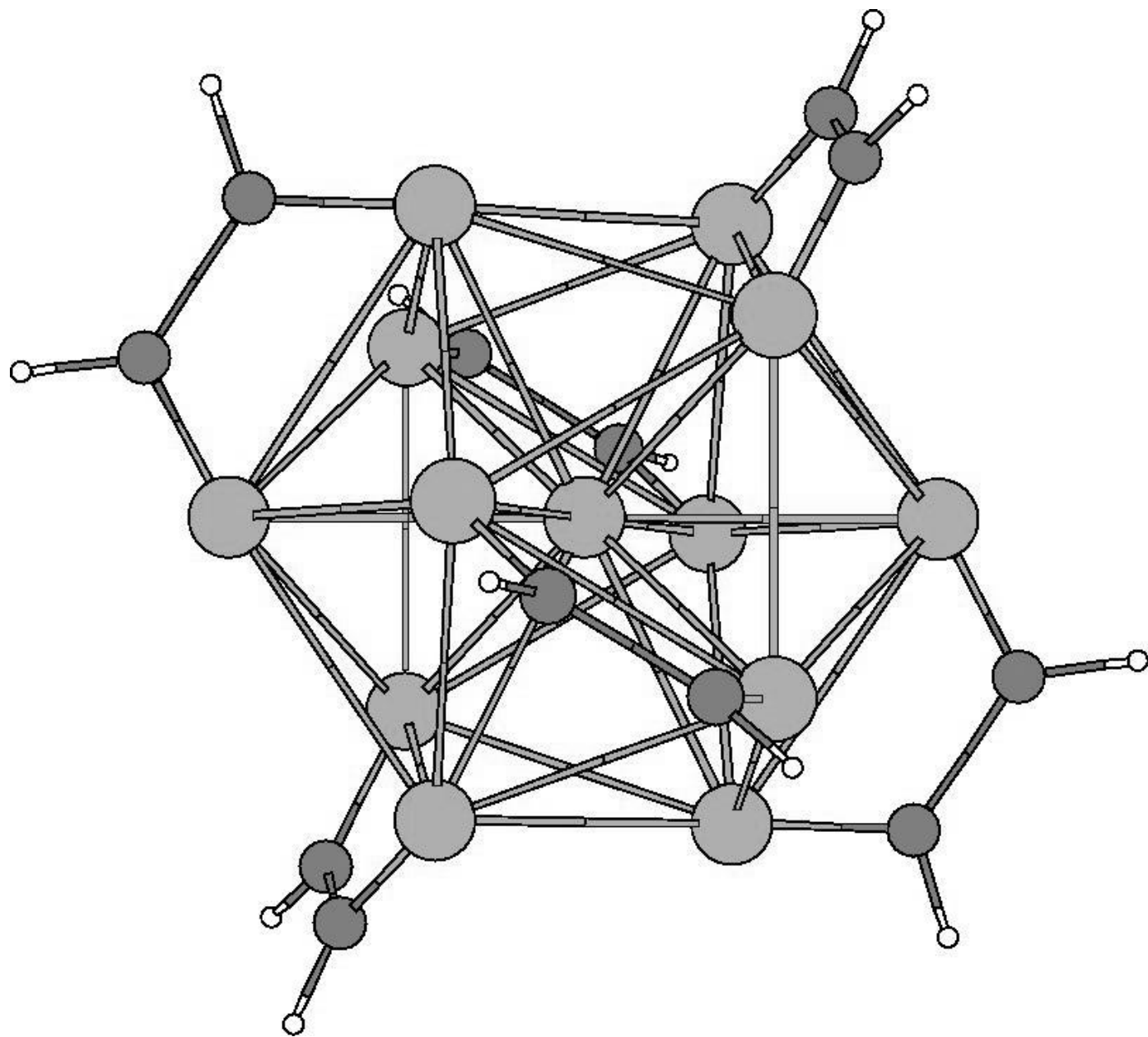


Figure 10: $Fe_{13}(C_2H_2)_6$ with Fe_{13} in the icosahedron vertices and $(C_2H_2)_6$ on sides



Figure 11: A "popcorn" metallic wire (unstable), single-wall nanotube, made of interwined icosahedra