# **Tests of Heterotic – F-theory duality with fluxes**

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## **Plan of the talk**

- O Heterotic type IIA duality in four dimensions
- O Heterotic fluxes and type IIA (M-theory) dual setup
- ${\rm O}\,$  Heterotic compactifications with duality twists and R-fluxes
- ${\rm O}\,$  F-theory dual setup
- ${\rm O}$  Conclusions

#### Heterotic – type IIA duality in 4d

Heterotic/ $K3 \times T^2 \leftrightarrow$  type IIA/CY<sub>3</sub>.

N=2 supergravity in 4d coupled to  $n_v$  vector multiplets and  $n_h$  hypermultiplets Heterotic  $\rightarrow$  Coulomb branch  $\rightarrow U(1)^{n_v+1}$ KK vectors, B-field on  $T^2$  and 10d gauge fields

$$A^0 = g_{\mu 4} , \quad A^1 = g_{\mu 5} , \quad A^2 = B_{\mu 4} , \quad A^3 = B_{\mu 5} , \quad A^a ,$$

Scalar fields

 $u - \text{complex structure of } T^2$ ;  $t - \text{complexified volume of } T^2$ ;  $n^a = A_5^a + u A_4^a$ ; s - axio - dilaton Type IIA vector multiplet sector  $\leftrightarrow \omega_i \in H^{1,1}(CY_3)$ 

Gauge group  $U(1)^{h^{1,1}+1} \rightarrow h^{1,1} = n_v$ 

Gauge fields comming from RR 3-form potential  $C_3$  expanded in the CY<sub>3</sub> harmonic (1,1) forms and the 10d graviphoton  $A^0$ 

$$\hat{C}_3 = \ldots + A^i \omega_i + \ldots$$

Scalars in the vector multiplets - complexified Kähler moduli

 $B + iJ = (b^i + iv^i)\omega_i$ 

## Heterotic/ $K3 \times T^2$ with fluxes

Gauge field fluxes on  $T^2$  and various twisting of  $T^2 \rightarrow$  non-Abelian structure and gaugings in the vector multiplet sector

$$\int_{T^2} F^a = f^a \; ,$$

$$F^0 = dA^0 , \quad F^1 = dA^1 ,$$

 $F^2 = dA^2 + f^a A^a \wedge A^1$ ,  $F^3 = dA^3 - f^a A^a \wedge A^0$ ,  $F^a = dA^a + f^a A^0 \wedge A^1$ ,

$$Dt = \partial t + \sqrt{2}f^a n^a A^1 + f^a A^a$$
;  $Dn^a = \partial n^a - \frac{1}{\sqrt{2}}f^a (A^3 + uA^1)$ .

### Type IIA dual setup?

- **X** Type  $IIA/CY_3$  Abelian vector multiplet sector.
- X No known fluxes induce gaugings in the vector multiplet sector

**Hint:** look at the duality in 5d: Heterotic/ $K3 \times S^1$  vs M-theory/CY<sub>3</sub>

Heterotic  $T^2$  fluxes = monodromy of gauge field-scalars around  $S^1 \rightarrow 4d$ 

do the same in M-theory

Isometry of the vector moduli space  $SO(1,1) \times SO(1,n_v-2)$ 

Perform Scherk–Schwarz compactification: twist the vectors multiplets by an element of the isometry group as we go around the circle

Do it in one step  $\rightarrow$  M-theory on 7d manifolds with SU(3) structure.

## 7d manifolds with SU(3) structure

Spread monodromy evenly over the circle

$$\omega_i(z+\epsilon) = \omega_i(z) + \epsilon M_i^j \omega_j(z) , \quad M - \text{ constant} , \quad \gamma_i^j = (e^M)_i^j$$

 $\omega_i$  - harmonic on  $CY_3$  slices

$$\mathbf{d} \omega_{\mathbf{i}} = \mathbf{M}_{\mathbf{i}}^{\mathbf{j}} \omega_{\mathbf{j}} \wedge \mathbf{d} \mathbf{z}$$

Consistency condition on the  $CY_3$  intersection numbers  $\mathcal{K}_{ijk}$ 

$$0 = \int_{X_7} d(\omega_i \wedge \omega_j \wedge \omega_k) \quad \Leftrightarrow \quad \mathcal{K}_{ijl} M_k^l + \mathcal{K}_{jkl} M_i^l + \mathcal{K}_{kil} M_j^l = 0$$

#### **Duality to Heterotic**/ $K3 \times T^2$

 $CY_3 = K3$  fibered over a  $\mathbf{P_1}$  base.

Limit  $Vol(\mathbf{P_1}) \sim large \rightarrow non-vanishing intersection numbers$ 

$$\mathcal{K}_{123} = -1$$
,  $\mathcal{K}_{1ab} = 2\delta_{ab}$ ,  $a, b = 4, \dots, h^{1,1} = n_v$ .

Solve constraint  $M_{(i}^{l}\mathcal{K}_{jk)l} = 0$ :

$$M_2^2 = m_2 , \quad M_a^2 = m_a , \quad M_3^3 = m_3 , \quad M_a^3 = \tilde{m}_a , \quad M_a^b = -M_b^a = m_a^b ,$$

$$M_2^a = \frac{1}{2}\tilde{m}_a$$
,  $M_3^a = \frac{1}{2}m_a$ ,  $M_a^a = -\frac{1}{2}M_1^1 = \frac{1}{2}(m_2 + m_3)$ .

## **Duality to Heterotic**/ $K3 \times T^2$

 $\tilde{m}_a \neq 0 \leftrightarrow$  heterotic gauge field fluxes on  $T^2$  [Aharony, Berkooz, Louis, AM] Identifies  $A^0$  on the heterotic side with the KK vector along the M-theory circle.  $m_2 + m_3 \neq 0$  – not a valid twist (not in the U-duality group)  $m_a$  T-dual to  $\tilde{m}_a \rightarrow$  need sort of T-fold on heterotic side  $m = m_2 = -m_3$  and  $m_a^b$  in heterotic?

[Dabholkar, Hull; Reid-Edwards, Spanjaard]  $\rightarrow$  Heterotic/ $T^d$  duality twists.

#### **Double twist reduction**

Compactification on  $S^1 \rightarrow SO(1, n_v - 2)$  symmetry

compactification on the second  $S^1$  with  $SO(1, n_v - 2)$  duality twist

Twist matrix

$$N_{I}{}^{J} = \begin{pmatrix} f & 0 & M^{b} \\ 0 & -f & W^{b} \\ -W_{a} & -M_{a} & S_{a}{}^{b} \end{pmatrix}$$

Match perfectly the M-theory twists

#### **Heterotic R-fluxes**

Extension in [Reid-Edwards, Spanjaard] – "R"-fluxes (T-duality along non-isometric directions) twist with the full 4d duality group  $SO(2, n_v - 1)$ 

New twist matrix  $\tilde{N}_I{}^J$ 

$$\tilde{N}_{I}{}^{J} = \begin{pmatrix} q & 0 & U^{b} \\ 0 & -q & V^{b} \\ -V_{a} & -U_{a} & G_{a}{}^{b} \end{pmatrix} ; \qquad [N, \tilde{N}] = 0$$

No direct explicit compactification; twist matrices  $\rightarrow$  structure constants in the underlying N = 4 theory  $\rightarrow$  determines gaugings and structure constants in the N = 2 theory.

No direct method of computing the potential; can only be determined from  ${\cal N}=2$  sugra relations in 4d

#### Killing vectors

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$$\begin{aligned} k_{1} &= -(fu + \sqrt{2}W^{a}n^{a})\partial_{u} + (ft + \sqrt{2}M^{a}n^{a})\partial_{t} + \frac{1}{\sqrt{2}}(M^{a}u - W^{a}t + \sqrt{2}S_{ab}n^{b})\partial_{a} \end{aligned} \tag{1} \\ k_{2} &= -(qu + \sqrt{2}V^{a}n^{a})\partial_{u} + (qt + \sqrt{2}U^{a}n^{a})\partial_{t} + \frac{1}{\sqrt{2}}(U^{a}u - V^{a}t + \sqrt{2}G_{ab}n^{b})\partial_{a} \\ k_{3} &= -(f - qn^{a}n^{a} - \sqrt{2}U^{a}n^{a}u)\partial_{u} + (qt^{2} + \sqrt{2}U^{a}n^{a}t)\partial_{t} \\ &\quad + (qtn^{a} + \sqrt{2}U^{b}n^{b}n^{a} - \frac{1}{\sqrt{2}}U^{a}(ut - n^{b}n^{b}) - \frac{1}{\sqrt{2}}M^{a})\partial_{a} \\ k_{4} &= \dots \text{ similar to } k_{3} \\ k_{b} &= -(V^{b}n^{a}n^{a} - U^{b}u^{2} - \sqrt{2}G_{b}{}^{a}n^{a}u - W^{b})\partial_{u} + (U^{b}n^{a}n^{a} - V^{b}t^{2} - \sqrt{2}G_{b}{}^{a}n^{a}t - M^{b})\partial_{t} \\ &\quad + \left[ (U^{b}u - V^{b}t + \sqrt{2}G_{bc}n^{c})n^{a} - \frac{1}{\sqrt{2}}G_{ba}(ut - n^{c}n^{c}) + \frac{1}{\sqrt{2}}S_{ab} \right]\partial_{a} \end{aligned}$$

#### **F-theory dual**

 $1^{st}$  twist matrix – need 1 KK gauge field  $\rightarrow$  M-theory/CY $_3 \times S^1$ 

 $2^{nd}$  twist matrix – need 2 KK gauge fields  $\rightarrow$  F-theory/CY $_3 \times T^2$ 

Twist CY<sub>3</sub> over  $T^2$  as in the M-theory case  $\rightarrow$  eight-dimensional manifold with SU(3) structure.

$$\mathbf{d}\omega^{\mathbf{a}} = (\mathbf{M}_{\mathbf{i}})^{\mathbf{a}}{}_{\mathbf{b}}\omega^{\mathbf{b}} \wedge \mathbf{d}\mathbf{z}^{\mathbf{i}}$$

Consistency:  $d^2\omega^a = 0 \rightarrow [M_1, M_2] = 0$ 

 $M_i$  – antisymmetric  $\rightarrow$  number of parameters coresponds to that on the heterotic side

### Compactification

Compare heterotic result 12 to F-theory compactifications

No 12d effective acion for F-theory  $\rightarrow$  2 step compactification:

- compactify F-theory on  $CY_3$  known from dualities
- Sherk-Schwarz compactification on  $T^2$  fields which come from the expansion in  $\omega^a$  vary on  $T^2$ .

 $\begin{cases} v^a - CY_3 \text{ Kahler moduli} \\ B^a - \text{ from expansion of } C_4 \end{cases}$  anti – self – dual tensor multiplet in 6d supergravity multiplet:  $g_{MN}$  and  $B_{MN}$ -self-dual tensor field

No action for 6d sugra with arbitrary number of tensor multiplets  $\rightarrow$  ignore the self-duality condition in 6d and impose it in 4d.

Start from 6d kinetic term

$$-rac{1}{2}g_{lphaeta}\hat{d}\hat{B}^{lpha}\wedge *\hat{d}\hat{B}^{eta}$$

4d degrees of freedom

$$\hat{B}^{\alpha} = B^{\alpha} + A^{\alpha}_{i} \wedge dz^{i} + b^{\alpha} dz^{1} \wedge dz^{2}$$
$$dB^{\alpha} \sim *db^{\alpha}, \qquad dA^{\alpha}_{1} \sim *dA^{\alpha}_{2}$$

4d vector multiplet:  $(A_1^{\alpha}, b^{\alpha}, v^{\alpha})$  or vector-tensor multiplet:  $(A_1^{\alpha}, B^{\alpha}, v^{\alpha})$ 

F-theory compactification on 8d manifold with SU(3) structure  $\Leftrightarrow$  allow 6d tensor multiplets dependence on  $T^2$ 

$$\partial_i \hat{B}^{\alpha} = (M_i)^{\alpha}{}_{\beta} \hat{B}^{\beta} , \qquad \partial_i v^{\alpha} = (M_i)^{\alpha}{}_{\beta} v^{\beta}$$

6d field strengths

 $\hat{d}\hat{B}^{\alpha} = dB^{\alpha} + dA^{\alpha}_{i} \wedge dz^{i} + db^{\alpha} \wedge dz^{1} \wedge dz^{2} + (M_{i})^{\alpha}{}_{\beta}(B^{\beta} + A^{\beta}_{i} \wedge dz^{j} + b^{\beta}dz^{1} \wedge dz^{2}) \wedge dz^{i}$ 

#### 4d field strengths

$$\begin{array}{lcl} H^{\alpha} &=& dB^{\alpha} + F_{1}^{\alpha} \wedge V^{1} + (dA_{2}^{\alpha} + M_{2\beta}^{\alpha}) \wedge V^{2} \\ F_{1}^{\alpha} &=& dA_{1}^{\alpha} + M_{1\beta}^{\alpha} B^{\beta} - Db^{\alpha} \wedge V^{2} \\ F_{2}^{\alpha} &=& dA_{2}^{\alpha} + M_{2\beta}^{\alpha} B^{\beta} + Db^{\alpha} \wedge V^{1} \\ Db^{\alpha} &=& db^{\alpha} - M_{1\beta}^{\alpha} A_{2}^{\beta} + M_{2\beta}^{\alpha} A_{1}^{\beta} \end{array}$$

 $V^{1,2}$  – KK vectors on  $T^2$ 

4d action

$$-\frac{1}{2}\left(g_{\alpha\beta}H^{\alpha}\wedge *H^{\beta}+g^{ij}g_{\alpha\beta}F^{\alpha}_{i}\wedge *F^{\beta}_{j}+g_{\alpha\beta}Db^{\alpha}\wedge *DB^{\beta}\right)$$

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Self-duality conditions

$$\eta_{\alpha\beta}Db^{\beta} = g_{\alpha\beta} * H^{\beta} ; \qquad \eta_{\alpha\beta}F_{i}^{\beta} = \epsilon_{ij}g^{jk}g_{\alpha\beta} * F_{k}^{\beta} .$$

Can be obtained by adding suitable total derivative terms to the action

$$S_t = -\eta_{\alpha\beta}H^{\alpha} \wedge Db^{\beta} - \eta_{\alpha\beta}F_1^{\alpha} \wedge F_2^{\beta} + 2\eta_{\alpha\beta}M_2^{\beta}\gamma dA_1^{\alpha} \wedge B^{\gamma} + \eta_{\alpha\beta}M_1^{\alpha}{}_{\delta}M_2^{\beta}{}_{\gamma}B^{\delta} \wedge B^{\gamma}$$

Can not directly eliminate magnetic dof. (1103.4813, Andrianopoli et al)

Magnetic gaugings in  $Db^{\alpha}$  can be mapped after electric-magnetic duality and field redefinitions to electric gaugings quadratic in the scalars.

Need to perform electric magnetic duality for  $V^1$  or  $V^2$ , but they both participate in gaugings.

One vanishing twist matrix  $\rightarrow$  result identical to M-theory compactifications on 7d mf with SU(3) structure

 $M_1 \sim M_2$  same results as in the heterotic case except magnetic gaugings

# Conclusions

- Heterotic R-fluxes  $\leftrightarrow$  F-theory on 8d mf with SU(3) structure
- Heterotic can determine gaugings
- Expect massive tensors after electric magnetic duality
- F-theory/CY $_3$  + Sherk-Schwarz compactification on  $T^2$
- Massive tensors present
- No explicit relation between the two sides when both twist matrices  $\neq 0$
- Need a better understanding of N=2 gauged sugra with vector-tensor multiplets